



surveys; (v) to comply with our internal processes and; (vi) to follow up on adverse events that have had to be reported in accordance with applicable legislation.

### **For Professionals Related with Healthcare**

**1. Primary purposes. It is necessary to create and maintain correspondence with you in order to meet the collection's main objectives. If you do not agree with these purposes, the actions described will not be executed.** (i) In order for you to participate in patient and / or clinical research programs; (ii) In order for you to participate and / or collaborate in diverse events or health related forums, either face-to-face or digital (remote); (iii) To integrate databases according to the other purposes described herein; (iv) To comply with the applicable legislation; (v) To maintain the legal relationship that may be generated; (vi) In some cases, Novartis may take the actions it deems necessary, in order to verify, directly or through third parties, the veracity of the data provided and; (vii) Allow you access to any of our facilities.

(i) In order for you to participate and / or collaborate in diverse events or health related forums, either face-to-face or digital (remote); (iii) To integrate databases according to the other purposes described herein; (iv) To comply with the applicable legislation; (v) To maintain the legal relationship that may be generated; (vi) In some cases, Novartis may take the actions it deems necessary, in order to verify, directly or through third parties, the veracity of the data provided and; (vii) Allow you access to any of our facilities.

**2. Secondary or complementary purposes. Not necessary to fulfill the main objective of the collection.** Additionally, your Personal Data may be used for: (i) marketing, advertising and / or commercial prospecting; (ii) get to know you better and contact you to offer you medical and health information and, where appropriate, other products and / or services of our own or of any of our affiliates, subsidiaries, holding companies, associates, commission agents, companies or third parties as well as, in some cases send you medical samples and materials to help you care for your patients; (iii) To invite you to participate in different programs, events, forums and conferences related to health, which may be in person or digital (remote); (iv) to perform statistical analysis, to generate information models and / or profiles of current and predictive behavior and to participate in surveys; (v) to improve our communications, publications, research and / or product development processes; and; (v) to follow up on adverse events that have had to be reported in accordance with applicable legislation.

### **General Public Data (those people who do not fit into the two previous categories):**

**1. Primary purposes. It is necessary to create and maintain correspondence with you in order to meet the collection's main objectives. If you do not agree with these purposes, the actions described will not be executed.** (i) In order for you to participate and / or collaborate in diverse events or health related forums, either face-to-face or digital (remote); (ii) To integrate databases according to the other purposes described herein; (iv) To comply with the applicable legislation; (v) To maintain the legal relationship that may arise; (vi) In some cases, Novartis may take the actions it deems necessary, in order to verify, directly or through third parties, the veracity of the data provided and; (vii) Allow you access to any of our facilities.

(i) In order for you to participate and / or collaborate in diverse events or health related forums, either face-to-face or digital (remote); (ii) To integrate databases according to the other purposes described herein; (iv) To comply with the applicable legislation; (v) To maintain the legal relationship that may arise; (vi) In some cases, Novartis may take the actions it deems necessary, in order to verify, directly or through third parties, the veracity of the data provided and; (vii) Allow you access to any of our facilities.

**2. Secondary or complementary purposes, not necessary to fulfill the main objective of the collection:** Additionally, your Personal Data may be used: (i) To invite you to participate in different programs, events, forums and conferences related to health, either in person or remotely; (ii) to provide and / or send you health-related information; (iii) participate in surveys and; (iv) perform analysis on the use of our web pages.

### **PERSONAL DATA THAT MAY BE COLLECTED AND PROCESSED**

The categories of Personal Data that may be required to be collected and that are subject to treatment by Novartis and its employees are: (i) Identification data (including photographs, voice and/or video); (ii) Contact information (in this case we will request an email to simplify your exercise of access rights); (iii) Labor data; (iv) academic and / or professional data; (vii) Citizenship data; (viii) Patrimonial and / or financial data (in which case your express consent will be required separately) and; (x) information about your interactions with Novartis (including without limitation, communications, responses to surveys, use of our sites and applications, etc.).

### **PERSONAL DATA TRANSFERS:**



**If you accept the content of this document, you are giving your consent for the transfer of your data:** (i) to local or foreign third parties when the transfer is provided for in a Law or Treaty to which the country of residence of the corresponding Data Collector is part; (ii) to Novartis' holding companies, subsidiaries, parents or affiliates, which operate under the same internal processes and policies (economic interest group); (iii) to third parties, when necessary for the maintenance or fulfillment of a contractual legal relationship between Novartis and you as the Data Subject; (iv) to authorities and third parties when required by a local or foreign law for reporting adverse events.

On the other hand, in the event that you decide to participate in any patient and / or clinical program, Novartis may transmit your contact details to those third parties who operate said programs funded by Novartis, in which case your express consent will be required based on in this document.

In certain situations, and with the prior express consent of you in this regard, we may share your data with other third parties not listed in this chapter, in which case we will inform you in advance when requesting your consent.

### **ACCESS RIGHTS:**

Only you, or your duly accredited legal representative, may exercise your rights of access, rectification, modification, revocation, limitation or elimination, communicating with us through the means of contact indicated above.

### **In Ecuador, you may additionally exercise the following rights:**

- 1. Right to Portability:** As data owner, you have the right to request that Novartis transfers your personal data to another Data Collector or to request to receive it directly from Novartis.
- 2. Right to suspend the data processing:** As data owner, you will have the right to request the processing suspension of the data when any of the following conditions are met: 1) When you challenge the accuracy of the personal data, while the Data Collector verifies the accuracy of the same; 2) When you oppose the deletion of personal data and request instead the limitation of its use; 3) When the purpose of processing ends, but you need them for the formulation, exercise or defense of claims and; 4) When you have objected to the process under article 31 of Ecuadorian law; while the verification if the legitimate reasons of the person in charge prevail over yours.
- 3. Right not to be subject to a decision based solely or partially on automated evaluations:** You have the right not to have your data subjected to a decision based solely or partially on evaluations that are the product of automated processes, including profiling, that produce legal effects or that violate your rights and fundamental freedoms, for which you may: 1) Request a reasoned explanation about the decision taken by the Data Collector; 2) File observations; 3) Request the evaluation criteria on the automated program; or, 4) Request from the person in charge, information about the types of data used and the source from which they have been obtained and; 5) Challenge the decision before the person in charge or in charge of the processing.

For the above, you must clearly indicate your full name and specify the corresponding right to execute.

In the case of Rectification requests, you must indicate the corresponding modifications and provide the additional documentation that supports your request.

Keep in mind that, in order to confirm that it is you who is exercising any of the indicated rights, Novartis may ask you to prove your identity, which we will try to do based on the information we already have about you in order not to collect additional personal data



However, if necessary, we may ask you and / or your legal representative for additional information to help prove your identity and / or find your information within our records.

### **AUTOMATIC MEANS TO COLLECT PERSONAL DATA:**

We inform you that, when accessing our facilities, Novartis may collect video, photography and / or voice data through video surveillance cameras. On the other hand, when you access our websites and / or our mobile applications, it is possible that we place some tracking means on your device such as "cookies" or other similar ones, the foregoing will allow Novartis to obtain statistical data and also improve its operation. and your browsing experience when you access them.

Additionally, it is reported that, in compliance with the purposes described in this privacy notice, browsing data and IP address may be collected from users and, if applicable, data regarding their registration.

- "Cookies": Are defined as a piece of programming information that is saved in your Internet browser or in other places on your device. You can manage the acceptance of these directly in the preferences of your browser, taking into account that, if you decide to block them, you may not be able to access the content of our sites. For more information on how to manage cookies on your device, consult the Help function of your browser or visit [www.aboutcookies.org](https://www.aboutcookies.org), which contains complete information on how to do it in a wide variety of browsers <https://www.aboutcookies.org>
- "Web beacons": In some cases, Novartis may use tracking technologies such as "web beacons" to collect data about your visits to our websites and other mobile applications; Similar to "cookies", these are electronic images, not visible, included on our sites or in emails, which also allow us to generate better content in order to offer you better information when you use our digital platforms.

### **PRIVACY NOTICE'S UPDATES**

This Privacy Notice may be modified or updated based on new legal requirements; Novartis' own needs or; for other causes. Any modification to this privacy notice will be notified to you through any of the following means: a written communication sent to your home address, by email or to your mobile phone; through widely circulated newspapers or; through a message released through the local Novartis website <https://www.cac.novartis.com/>

**Last update November 01, 2021.**

Finally, by providing your consent according to this privacy notice, you agree to submit yourself only to the jurisdiction and applicable legislation in the country, of those indicated here, where your data was collected regardless of your nationality and / or country where you are a resident.

***I expressly declare to have read and understood this Privacy Notice and I give my consent for the treatment and transfer of my personal data in the terms and purposes indicated herein.***

Full name and signature: \_\_\_\_\_ Date: \_\_\_\_\_

***(If applicable) I, the undersigned, legal representative of:***

\_\_\_\_\_, ***declare that I have read and understood this Privacy Notice. Having sufficient legal representation of the Data Owner, I give consent for the treatment of the personal data in the terms and purposes indicated herein:***

Full name and signature: \_\_\_\_\_ Date: \_\_\_\_\_